METHODOLOGY SHEET FOR GLOBAL PARTNERSHIP FOR EDUCATION (GPE) INDICATORS

Indicator title	**Indicator (30)** Proportion of GPE grants using: (a) co-financed project or (b) sector pooled funding mechanisms
Result measured (from GPE Results Framework):	**Global Level Objective (4)** b. Advocate for improved alignment and harmonization of funding from the Global Partnership and its international partners around nationally owned education sector plans and country systems

JUSTIFICATION FOR INDICATOR

Background/context for indicator:	Monitoring and promoting harmonization of support from technical and financial partners, including non-governmental support, to the education sector is anchored in both the aid effectiveness and the post-2015 agendas, as well as in the GPE Charter[1]. Building on the 2005 Paris Declaration on Aid Effectiveness, which includes harmonization as one of its fundamental principles[2], subsequent international aid declarations continue to highlight its importance. For example, the 2030 Development Agenda calls for a transparency revolution to foster ownership and accountability, and stresses development partners' responsibility for harmonizing financial systems among donors in order to enable more organized and effective intervention. For this reason, the Global Partnership is committed to encouraging the harmonization of its grants with financial contributions of its partners, thus creating a space for dialogue and coordination. The GPE recognizes the crucial role of domestic financing and use of leveraging external funding to improve national systems. This leveraging potential can be realized when a critical mass of external funding aligns to, and engages with, national systems, rather than circumventing them. While alignment (see Indicator 29) refers to technical and financial partner coordination with DCPs, harmonization refers to coordination between such technical and financial partners. Project and sector pooled funding are both ways to ensure greater harmonization of external assistance among partners as set out in national sector plans. They thus, hopefully, help promote better of external assistance.
Rationale for indicator selection:	GPE's Strategic plan 2016-2020[3] identifies aligning GPE financing to national systems and using pooled funding mechanisms as a key component of Strategic Objective #4— 'More and Better Financing'. Therefore, GPE's Theory of Change (ToC) postulates: "Advocate for improved alignment and harmonization of funding from the Global Partnership and its international partners around nationally owned education sector plans and country systems".

[1] Global Partnership for Education, *Charter of the Global Partnership for Education,* Governance Reports and Manuals (GPE, 2013) http://www.globalpartnership.org/content/charter-global-partnership-education
[2] OECD. *The Paris Declaration on Aid Effectiveness and the Accra Agenda for Action* (2005) http://www.oecd.org/dac/effectiveness/34428351.pdf
[3] Global Partnership for Education, *GPE 2020. Improving learning and equity through stronger education systems* (Washington, DC: World Bank Group, 2016), retrieved May 25, 2016 from http://www.globalpartnership.org/content/gpe-2020-strategic-plan

	This indicator is included in the results framework as it offers concise evidence regarding the structure of GPEs Education Sector Program Implementation Grants (ESPIGs), allowing for concise monitoring of grants and their level of fund harmonization. The harmonization of funding with international partners in grant implementation complements the monitoring of alignment of GPE supported programs with national systems (see Indicator 29). The holistic approach to monitoring harmonization and alignment allows for better execution, planning and monitoring efforts both at the project and sector levels through ESPIGs as the main implementation mechanism. Harmonization of grant sources contributes to overall impact, through stronger, more coordinated Education Systems with the capacity, resources and data to make tangible improvements in learning and equity. This type of technical coordination and pooled funding mechanism allows donors, multilateral agencies, civil society organizations and private foundations, and the private sector to harmonize procedures as much as they can, seeking to ensure that all parties are kept fully apprised of progress and challenges in the sector[1].

DEFINITION

Indicator definition:	**Proportion of GPE grants using: (a) co-financed funding or (b) sector pooled funding mechanisms [with the understanding that the remainder are grants using stand-alone funding mechanisms]** In this context, GPE grants makes specific reference to GPEs Education Sector Program Implementation Grants (ESPIGs). **a) Co-financed funding:** This refers to a scenario where the funding mechanism is made up of different source agency funds to support a common project. It is not a stand-alone mechanism but neither is it a sector-pooled mechanism. For purposes of data collection, grants that follow this characteristic are classified as ESPIG b. **b) Sector Pooled Funding**: This scenario describes a diverse group of grant or credit modalities with varying instruments and mechanisms. The specificity for sector pooled funds is that multiple contributing partners deliver funds in a coordinated fashion to support implementation of the national education plan, or specific parts thereof. Under this modality, country systems are normally used for procurement, financial management, M&E and reporting. For purposes of data collection, grants that follow this characteristic are classified as ESPIG c. **c) Stand-alone funding mechanisms:** this describes a scenario where the funding mechanism is unilateral, or in other words, not pooled with any other sources of financing. For purposes of data collection, grants that follow this characteristic are classified as ESPIG a.
Unit of measurement:	Proportion expressed as a percentage: 1. Number of ESPIGs using a co-financed mechanism (numerator) compared to total number of ESPIG applications approved and active since the baseline year FY15 (denominator). 2. Number of ESPIGs using a sector-pooled funding mechanism (numerator) compared to total number of ESPIG applications approved and active since the baseline year FY15 (denominator). 3. Number of ESPIGs using a stand-alone funding mechanism (numerator) compared to total number of ESPIG applications approved and active since the baseline year FY15 (denominator).

Disaggregation:		Data will be disaggregated by FCAC and non-FCAC and, for the purposes of the Portfolio Review, by country and region.
Year for data reported (select only one and mark an "x")		_X_ fiscal year __ calendar year
Frequency of data collection:		Once for each ESPIG application: as part of the ESPIG applications submitted twice annually, normally in March and September. Data will be reported annually for the corporate-level indicator.
DATA TREATMENT		
Source of information for collecting data:	Source document, template, etc.:	ESPIG application from the Country
	Source agency:	ESPIG application from the Country
Formula:		**Step 1.** *ESPIG classification:* As per the Indicator definition, ESPIGs are classified as follows: a) ESPIGs following a ***co-financed*** funding-mechanism are classified as ESPIG a; b) ESPIGs following a ***sector-pooled*** funding-mechanism are classified as ESPIG b; c) ESPIGs following a ***stand-alone*** funding mechanism are classified as ESPIG c; **STEP2.** At the country level, and for a given year, divide the number of ESPIGs for each country ***j*** and for each category ***o*** (categories a, b, and c) by the total number of ESPIG applications approved and active since the baseline year FY15 for each country ***j*** as follows: $$PROP\ (ESPIG)_{j,o} = \frac{ESPIG_{j,o}}{ESPIG_j} * 100$$ Where: $PROP\ (ESPIG)_{j,o}$ = *proportion of ESPIGs from category **o** for country **j** in the reference year* $ESPIG_{j,o}$ = *ESPIG from category **o** for country **j** in the reference year* $ESPIG_j$ = *total number of ESPIG applications approved and active since in the reference year*
Aggregation formula:		In order to get the corporate indicator, divide the total number of ESPIGs, for all countries in the sample, in categories a and b, by the total number of ESPIG applications approved and active since the baseline year FY15, as follows: $$PROP(ESPIG)_{ab} = \frac{\sum_{j=1}^{n} ESPIG_{j,ab}}{\sum_{j=1}^{n} ESPIG_j} * 100$$ $PROP\ (ESPIG)_{ab}$ = *proportion of ESPIGs from categories a and b in the reference year* $\sum_{j=1}^{n} ESPIG_{j,ab}$ = *total number of ESPIGS in categories a and b in the reference year* $\sum_{j=1}^{n} ESPIG_j$ = *total number of ESPIG applications approved and active in the reference year*
Data limitations (if any known / anticipated):		In the ESPIG application form (Section 1, Overview, Grant Modality) Grant Agencies (GA) are requested to indicate the proposed modality so it is from this section that information will be collected. If this information will not distinguish between project and sector pooled funding, this will be indicated in the project description and / or if a Joint Funding Agreement is provided. Where necessary, clarification from the GA will be sought via the Country Lead.
Interpretation		A high percentage indicates a high degree of harmonization of GPE funding with funding from other donors and/or international partners. While

	harmonization is typically recommended to create a space for dialogue and coordination, funding modalities should respond to different country needs, capacity and operating mechanisms of the entity supervising or managing the grant. Monitoring of harmonization between donors complements the monitoring of alignment of GPE supported programs with national systems (see Indicator #29), two of the five fundamental principles outlined in the Paris Declaration on Aid Effectiveness. The holistic approach to monitoring harmonization and alignment allows for better execution, planning and monitoring efforts both at the project and sector levels through ESPIGs as the main implementation mechanism.

REFERENCES

- Global Partnership for Education. *Charter of the Global Partnership for Education,* Governance Reports and Manuals. GPE, 2013 http://www.globalpartnership.org/content/charter-global-partnership-education
- Global Partnership for Education. *Strategic Plan 2016-2020 – GPE 2020: Improving Learning and Equity*. Washington, DC: The World Bank, 2016.retrieved April2017 from http://www.globalpartnership.org/content/gpe-2020-strategic-plan
- OECD. *The Paris Declaration on Aid Effectiveness and the Accra Agenda for Action*. 2005. http://www.oecd.org/dac/effectiveness/34428351.pdf

ANNEXES

Annex 1- Data Collection tool

Data collection tool utilized for collecting the data, if any:	ESPIG application from the Country

Annex 2- Standard Operating Procedure

Process Name: Data Collection, Quality Assurance & Storage for Indicator # 30 of the GPE Results Framework	**Owner:** R&P Team	**Updated:**
Function: Measuring GPE Impact	**Version #:** 1	**Review:**

Material changes from prior version of SOP

None; this is the first version.

Summary

This SOP describes the process for data collection, quality assurance, and storage for indicator # 30 (Proportion of GPE grants using: (a) co-financed project or (b) sector pooled funding mechanisms) of the GPE results framework.

Results / Outputs

This process should result in the results framework being updated with quality assured data on indicator# 30, with the data available for use by Secretariat staff and for reporting/publication.

Interim outputs of the Secretariat:

Completed data collection template

Final Output:

Updated results framework database

Scope

- Begins: The process begins with Senior M & E Specialist (MU) collecting data on funding modalities used from ESPIG grant application forms.
- Ends: The process ends with updated data being integrated into the results framework database by the Monitoring and Evaluation Data Manager.
- Includes: All procedural aspects

- Excludes: Methodological aspects of calculating the indicator value. These can be found in the methodology sheet.
- Note: Data is to be collected annually.

Standards (Policies, Approvals, Deadlines, etc.):

- Policies: GPE 2020, Monitoring Sheet for GPE Results Framework Indicators # 30
- Deadlines: M & E Data Manager updates results framework database with the Indicators # 30 data by 15th November
- Approval: The completed data template is prepared by the Senior M & E Specialist (MU) and includes quality checks by the M & E Data Manager and final approval by the Head of M&E

Issues /Risks:

- Relevant documents might not be available to the GPE Secretariat on time.

Overview:



Steps in the Process	Roles / Responsibilities	Outputs / Deliverables	Tools / Templates
1. Request Data Collection Typically by 15th September			
Collect data on the funding modality used for each active grant from the ESPIG Grant application forms (this is carried out on a routine basis)	Senior M & E Specialist (MU)		ESPIG Grant application forms
Prepare/obtain list of ESPIGs that are active (this is carried out on a routine basis)	Senior M & E Specialist (MU)	List of active ESPIGs	Filled in ESPIG application form from the country
Request clarification from Country Leads on any ESPIG where the funding modality used is not clear from the ESPIG application form	Senior M & E Specialist (MU)		
Clarify the funding modality used and validate by email	Country Leads		
2. Aggregate Data Typically by 30th October			

Enter data into the template provided by the M&E Data Manager	Senior M & E Specialist (MU)		Data Collection Template
Compute indicator values using the completed data collection template, based on the latest available classification of Countries Affected by Fragility and Conflict and forward to M & E data Manager.	Senior M & E Specialist (MU)	Completed data collection template and indicator values	List of Countries Affected by Fragility and Conflict from the GPE Intranet
Review completed data collection template and send comments/queries to the Senior M & E Specialist (MU)	M & E Data Manager		
Respond to the comments/queries, updates data collection template as necessary and forward to M & E data Manager	Senior M & E Specialist (MU)	Updated data collection template	
3. Update Results Framework Database Typically by 15th November			
Forward data collection template to the Head of M & E for review and approval	M & E Data Manager		
Review and approve completed data collection template	Head of M & E	Approved data collection template	
Update results framework database using completed template submitted by the Senior M & E Specialist (MU)	M & E Data Manager	Updated results framework database	
Notify the secretariat on the availability of data in the results framework database through the intranet	M & E Data Manager	Notification on GPE intranet	

Annex 3- Grant Application Form – Section 1

Applicant Country:		
Requested Grant Amount (USD):	Fixed Part:	Variable Part:
Grant modality: (eg. budget support, pooled fund, project, etc.)		

Applicant Ministry:		
Representative of Applicant Ministry:		
Name	Title	Email/Telephone Number

Program Name (optional):	

Program Timeframe:		
Expected Start Date	Expected End Date	Expected Submission date of Grant Completion Report

Coordinating Agency:	

Representative of Coordinating Agency:		
Name	Title	Email/Telephone Number

Supervising Entity or Managing Entity:	

Representative of Supervising Entity or Managing Entity:		
Name	Title	Email/Telephone Number