

METHODOLOGY SHEET FOR GLOBAL PARTNERSHIP FOR EDUCATION (GPE) INDICATORS

Indicator title	Indicator (31) Proportion of country missions addressing domestic financing issues
Result measured (from GPE Results Framework):	Strategic Objective 4: Mobilize more and better financing c) Support increased, efficient, and equitable domestic financing for education through cross-national advocacy, mutual accountability, and support for transparent monitoring and reporting
JUSTIFICATION FOR INDICATOR	
Background/context for indicator:	GPE's 2016-2020 strategic plan GPE 2020 articulates the importance of adequate financing for education development, emphasizing the importance of domestic finance, stating that: <i>"Implementation grants are contingent on independent assessment of the education sector plan and the partner country increasing (or maintaining already high) domestic expenditure on education"</i> . ¹ This perspective is based on the premise that developing countries themselves must do their part to devote their own resources to education and spend those funds more effectively by strengthening the education system. It also means that the global development community must utilize an inclusive model that captures the potential of all finance sources and capacities, including not only traditional donor support, but also domestic financing, private sector investment and capacity in order to achieve the SDGs, including SDG 4. At the Global Partnership's Replenishment conference in Brussels in 2014, GPE developing country partners (DCPs) pledged a collective increase of US\$ 26 billion in domestic financing to education over the next four years, reflecting a spending increase on education on average by 10% as a share of GDP after joining the partnership. ² The Incheon Declaration (Education 2030) ³ has also set important benchmarks for countries to allocate at least 4% to 6% of their gross national product and 15% to 20% of their national budget to education. Therefore, GPE has set up monitoring mechanisms to gather documentation on each country mission such as Statements of Mission Objectives (SMOs), Back to Office Reports (BTORs) and Aide Memoires to assess whether domestic financing issues were addressed during the mission.
Rationale for indicator selection:	Indicator #31 relates to strategic objective four in GPE's Strategic 2016-2020 strategic plan, seeking greater commitment of Development Country Partners (DCPs) towards domestic education financing. It is part of a cluster of indicators that are part of GPE's strategic objective to <i>mobilize more and better financing</i> ¹ .

¹ Global Partnership for Education, *GPE 2020. Improving learning and equity through stronger education systems* (Washington, DC: World Bank Group, 2016), retrieved May 25, 2016 from <http://www.globalpartnership.org/content/gpe-2020-strategic-plan>

² Financing the new SDG for Education will require more efficient use of funding, <http://www.globalpartnership.org/blog/financing-new-sdg-education-will-require-more-efficient-use-funding>

³ Incheon Declaration Education 2030: Towards inclusive and equitable quality education and lifelong learning for all, <http://unesdoc.unesco.org/images/0024/002456/245656e.pdf>

	GPE promotes effective dialogue around increased domestic financing for education, a prerequisite for funding credible education plans and policies. The purpose of this indicator is to monitor the extent to which GPE missions address domestic financing for education at country level, across GPE’s portfolio.
DEFINITION	
Indicator definition:	<p>This indicator tracks the proportion of country missions conducted to DCPs addressing domestic financing issues, out of the total number of country missions during a given reporting period. Domestic financing issues are issues related to DCP’s commitment towards meeting the requirements of GPE’s funding model. Those may include, but are not limited to resource mobilization, education share of budget, Education Sector Plan, and Basic/Primary sub-sector issues associated with domestic funding. In order to advance DCPs work toward fulfilling requirement three of the fix part, it is important for GPE to monitor the extent to which its country missions are addressing domestic financing issues.</p> <p>Country leads on country mission are required to track the domestic financing issues addressed with DCPs during their meetings. CLs are asked to use their best judgement to determine whether the discussions and activities during mission were significant enough to require specific reporting. For example, in their judgment, they may consider meeting with government officials from the ministry of finance the following resources a criterion for addressing domestic financial issue. As resources for verification and supporting documentation CLs may use Statements of Mission Objectives (SMOs) and/or Back-to-office Reports (BTORs).</p> <p>In general, each country mission is counted once. However, the following guidelines address specific situations and how they should affect counting the number of country missions:</p> <ol style="list-style-type: none"> 1. One mission covering multiple countries should be counted as multiple missions, corresponding to the number of countries visited. 2. A mission that occurs between fiscal years should be counted based on the fiscal year in which it ended. 3. A mission that addresses multiple agendas and includes multiple people is counted as one mission. 4. A mission that occurs but is not conducted in a DCP is not counted under this indicator.
Unit of measurement:	<p>“n out of N,” expressed as a percentage, where:</p> <ul style="list-style-type: none"> - n represents the number of country missions addressing domestic financing issues; and - N represents the total number of country missions, to any GPE DCP, in a given reporting period.
Disaggregation:	Fragile/conflict-affected (FCAC) and other countries
Year for data reported (select only one and mark an “x”)	<p>_X_ fiscal year</p> <p>__ calendar year</p>

Frequency of data collection:	Annual	
DATA TREATMENT		
Source of information for collecting data:	Source document, template, etc.:	Statement Mission Objective (SMO)/ Back-to-office Report (BTOR) for each country mission conducted
	Source agency:	GPE Secretariat
Formula:	<p>Coding the responses</p> <p>Once the data was gathered individual responses were assessed to ensure the validity of each response. Each response was coded as follows:</p> <ol style="list-style-type: none"> 1. Code 0 = No mission 2. Code 1 = There was 1 mission 3. Code 2 = There were 2 missions 4. Code 3 = There were 3 missions 5. Code 99 = Data on mission does not exist 6. Code 100 = Documentation exists but could not be obtained 7. Code 102= Representation and/or discussion not formally documented but exists based on CL recollection and meetings held while on mission 8. Code 103 = Unsure of missions addressing domestic financing and/or undertaken <p>Formula: at the mission level, assess whether a country mission <i>cm</i> is addressing domestic financing issues ($cmdf_j = 1$):</p> $cmdf_j = \begin{cases} 1, & \text{if the country mission } \mathbf{cm} \text{ addressed domestic financing issues} \\ 0, & \text{otherwise} \end{cases}$	
Aggregation formula:	<p>At the portfolio level, calculate the proportion of country missions that addressed domestic financing issues ($PROP(cmdf_j = 1)$):</p> <p>Sum the number of country missions that addressed domestic financing issues ($cmdf_j = 1$) and divide the result by the total number of country missions in the sample, CM. Multiply by 100 to obtain a percentage.</p> $PROP(cmdf_j = 1) = \frac{\sum_{j=1}^{CM} cmdf_j}{CM} * 100$	
Data limitations (if any known / anticipated):	<ol style="list-style-type: none"> 1. This indicator does not capture the depth and level of discussions related to domestic financing issues, or the level of need, risk, priority, or any other substantive factor associated with domestic financing issues. 2. Determination of 'addressing' is based on judgement. 	

Interpretation	A high value suggests that domestic financing issues are consistently being discussed during GPE Secretariat’s missions to the countries. As an increasing number of grants are expected to be approved under the new funding model, it is expected that a growing number of missions will include domestic financing issues as part of those discussions. It is important to take into account that addressing domestic financing issues is an activity that usually happens in specific steps of the country process such as during JSR participation, ESP development, preparation for an application, or when it is specifically requested. Therefore, it is not necessary to address domestic financing issues in all the mission.
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REFERENCES

- Global Partnership for Education. *Strategic Plan 2016-2020 – GPE 2020: Improving Learning and Equity*. Washington, DC: The World Bank, 2016. retrieved April 2017 from <http://www.globalpartnership.org/content/gpe-2020-strategic-plan>
- Financing the new SDG for Education will require more efficient use of funding, <http://www.globalpartnership.org/blog/financing-new-sdg-education-will-require-more-efficient-use-funding>
- Incheon Declaration Education 2030: Towards inclusive and equitable quality education and lifelong learning for all, <http://unesdoc.unesco.org/images/0024/002456/245656e.pdf>

ANNEXES

Annex 1- Data Collection tool

Data collection tool utilized for collecting the data, if any:	Country Missions Addressing Domestic Financing Worksheet (see Annex 4)
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Annex 2- Standard Operating Procedure

Process Name: Data Collection, Quality Assurance & Storage for Indicator # 31 of the GPE Results Framework	Owner: R&P Team	Updated:
Function: Measuring GPE Impact	Version #: 1	Review:
Material changes from prior version of SOP None; this is the first version.		
Summary This SOP describes the process for data collection, quality assurance, and storage for indicator # 31 (Proportion of country missions addressing domestic financing issues) of the GPE results framework.		
Results / Outputs This process should result in the results framework being updated with quality assured data on indicator# 31. Interim outputs of the Secretariat: Completed data collection template Final Output: Updated results framework database		
Scope <ul style="list-style-type: none"> • Begins: The process begins with Operations Analyst in MU requesting CLs to populate the data collection instrument used to gather information using mission notes, SMOs, TORs, and other relevant documentation. • Ends: The process ends with updated data being integrated into the results framework database by the Monitoring and Evaluation Data Manager. • Includes: All procedural aspects 		

<ul style="list-style-type: none"> Excludes: Methodological aspects of calculating the indicator value. These can be found in the methodology sheet. Note: Data is collected annually
Standards (Policies, Approvals, Deadlines, etc.): <ul style="list-style-type: none"> Policies: GPE 2020, Monitoring Sheet for GPE Results Framework Indicators # 31 Deadlines: M & E Data Manager updates results framework database with the Indicators # 31 data by 30th October Approval: The completed data template is prepared by the Operations Analyst (MU) and includes quality checks by the M & E Data Manager and final approval from the Head of M&E
Issues /Risks:
Overview:

Steps in the Process	Roles / Responsibilities	Outputs / Deliverables	Tools / Templates
1. Data Collection Typically by 15 th September			
Request CLs to populate data collection instrument used to gather information using mission notes, SMOs, TORs, and other relevant documentation.	Operations Analyst (MU)		
Populate data collection instrument used to gather information using mission notes, SMOs, TORs, and other relevant documentation.	Country Leads		
Enter data in the country missions addressing domestic financing worksheet	Operations Analyst (MU)		Country Missions Addressing Domestic Financing Worksheet (Annexed)
2. Quality Assurance and Compilation of Data Typically by 30 th September			
Perform quality assurance checks on the data	Operations Analyst (MU)		
2. Aggregate Data Typically by 30 th October			
Enter data into the template provided by the M&E Data Manager	Operations Analyst (MU)		Data Collection Template
Compute indicator values using the completed data collection template, based on the latest available classification of Countries Affected by Fragility and Conflict and forward to M & E data Manager.	Operations Analyst (MU)	Completed data collection template	List of Countries Affected by Fragility and Conflict from the GPE Intranet

Review completed data collection template and send comments/queries to the Operations Analyst (MU)	M & E Data Manager		
Respond to the comments/queries, updates data collection template as necessary and forward to M & E data Manager	Operations Analyst (MU)	Updated data collection template	
3. Update Results Framework Database Typically by November 15th			
Forward data collection template to the Head of M & E for review and approval	M & E Data Manager		
Review and approve completed data collection template	Head of M & E	Approved data collection template	
Update results framework database using completed template submitted by the Operations Analyst (MU)	M & E Data Manager	Updated results framework database	N/A
Notify the secretariat on the availability of data in the results framework database through the intranet	M & E Data Manager	Notification on GPE intranet	

Annex 3- Additional Analysis

Example

The table below shows the total number of country missions that either addressed or did not address domestic financing issues in a given reporting period, and the overall proportion of country missions addressing any domestic financing issue in a given year. According to the data in the table, 2 out of five country missions addressed domestic financing issues, which is 40% of the total of five country missions conducted during the same reporting period.

Countries	Country missions	Country missions addressing domestic financial issues
Country 1	Mission 1	0
	Mission 2	1
Country 2	Mission 3	0
Country 3	Mission 4	1
Country 4	Mission 5	0
	Proportion	2/5=40%

Where,

1 = addressed domestic financing issues

0 = did not address domestic financing issues

Annex 4- Country Missions Addressing Domestic Financing Worksheet (FY2015 and FY2016 data)

Cluster	Country Lead	Education Specialist	Country	FY2015		FY2016		Respondent's name	Documentation (Y./N)	Comments
				# of missions addressing domestic financing issues	Total # of Missions taken	# of missions addressing domestic financing issues	Total # of Missions taken			